

Financial adviser details

Macquarie Specialist Investments



To change your company address and contact details, please complete this form.

Please use black ink and BLOCK letters.

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Financial adviser details

Title:	<input type="text"/>	Financial adviser name:	<input type="text"/>
Surname:	<input type="text"/>		
Authorised Representative number:	<input type="text"/>		
Mailing address	Street name and number: <input type="text"/>		
Suburb:	<input type="text"/>	State:	<input type="text"/>
		Postcode:	<input type="text"/>
Work number:	<input type="text"/>	Fax number:	<input type="text"/>
Mobile number:	<input type="text"/>	Email address:	<input type="text"/>
Do you have an Adviser Macquarie Access Code (MAC)?	<input type="checkbox"/>	Yes, the existing MAC is	<input type="text"/>
Date of birth (DDMMYYYY):	<input type="text"/>		
Who is your Business Development Manager?	<input type="text"/>		

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Company details

Company name:	<input type="text"/>		
Australian Financial Services Licence (AFSL) number:	<input type="text"/>	ABN:	<input type="text"/>
Company mailing address	(Please note any correspondence if posted will be sent to this address)		
Street name and number:	<input type="text"/>		
Suburb:	<input type="text"/>	State:	<input type="text"/>
		Postcode:	<input type="text"/>
Company contact numbers			
Business hours:	<input type="text"/>	Fax number:	<input type="text"/>

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Dealer Group head office details

Dealer Group name: Australian Financial Services Licence (AFSL) number: ABN: **Dealer Group mailing address** (Please note any correspondence if posted will be sent to this address)Street name and number: Suburb: State: Postcode: **Dealer Group contact numbers**Business hours: Fax number: Dealer Group email address: **Contact for commission payments**Title: First name: Surname:

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Authorisation

What is your capacity?

- Director of the licensee Company
- Employee of the licensee Company
- Director or Employee of a related body corporate of the licensee Company
- Authorised Representative of the licensee Company
- Authorised Representative of the Dealer Group (the licensee)
- Representative sub-authorised by the Company, a corporate representative of the Dealer Group (the licensee)
- Other, please specify:

Acknowledgement

By signing below, I/ we acknowledge our agreement, understanding and confirmation on behalf of myself, the Dealer Group and Company noted above (and thereby warrant that we have the authority to do so) that:

- any employee, agent, representative, sub-contractor or officer of the above Dealer Group and/or Company that distributes products issued or offered by Macquarie Group Limited and its related bodies corporate (**Macquarie**), or refers clients to Macquarie (whether or not they receive payment or commission from Macquarie) (**Distributor**), is not authorised to provide financial products and services to clients on behalf of Macquarie;
- distributors of products issued or offered by Macquarie, and any of their authorised representatives, representatives, employees and agents DO NOT have authority to enter into contracts or relationships on behalf of Macquarie, and should not give or purport to give financial product advice to any person on behalf of Macquarie;
- any financial product advice that is given by any Distributor to any person in relation to any products issued or offered by Macquarie is given pursuant to the relationship between the Distributor and that client or person and is not given on behalf of Macquarie;
- it is our responsibility to ensure that all of our engaged employees, agents, independent contractors, or approved sub-agents who deal with potential customers are aware of and understand these requirements, and to ensure that if we provide financial services that require us to hold an Australian Financial Services Licence (**AFSL**) or other authorisation, we have the appropriate arrangements in place;
- it is our responsibility to ensure that we comply with the requirements of the financial services law, including the *Corporations Act 2001* and *Australian Securities and Investments Commission Act 2001* and policy of the Australian Securities and Investments Commission, including all relevant disclosure and conduct obligations;
- the Distributor is appropriately authorised and licensed under the *Corporations Act 2001* to provide the financial services related to the distribution of products issued or offered by Macquarie;
- I/We will advise Macquarie if our AFSL or our authorisation under an AFSL is suspended or cancelled; and
- any tools and resources provided to us by Macquarie are provided for general information purposes and as guides only and the issuer of the Macquarie product takes no responsibility for our use of such tools and resources. Macquarie makes no representation on whether the information contained in the tools and resources are appropriate for use by the Distributor or that they comply with any relevant legislation. We acknowledge that the use of the tools and resources are our sole responsibility.

Authorised signature(s)

Date:

Please print name:

Authorised signature(s)

Date:

Please print name:

Important Information

Access

You can contact us to request access to your information and to ask for the relevant form to correct or update any personal information. You can contact us to make such a request or for any other reason relating to the privacy of your personal information by telephoning us on 1800 080 033 or email the Privacy Officer at: privacy@macquarie.com.

You may also request a copy of our Privacy Policy which contains further details about our handling of personal information, including how you may access or update your personal information and how we deal with your concerns. The Privacy Policy can also be found via macquarie.com.au.

Purpose

Macquarie Bank Limited and its related bodies corporate (**Macquarie**) collect, hold, disclose and use personal information collected under this form for the following purposes:

- to administer your access to online services;
- if you are an adviser, to administer your access to your clients' details (if consent is given);
- to identify you if you contact us;
- to monitor, audit and evaluate the products and services sought by and provided to you, monitor, audit and evaluate those products and services, model and test data;
- to communicate with you and deal with any complaints or enquiries,

or related purposes which would reasonably be expected without your permission.

Disclosing your information

You agree and consent that Macquarie may disclose information we hold about you in the following circumstances (even if the disclosure is to an organisation overseas (this includes locations in the Philippines and India and the countries specified in our Privacy Policy)):

- to companies and representatives that provide services on our behalf, for example printing statements or notices which we send to you;
- to other Macquarie Group companies or Macquarie's agents or contractors who may provide services in connection with online access and related services;
- to your agents and representatives;
- if the disclosure is required or authorised by law.

What happens if you do not disclose the information

You may choose not to give personal information about you to Macquarie. If you do not give it to Macquarie, we may not be able to provide you with access to your investment(s) and/or loan(s) online, to provide you with an appropriate level of service or if you are an adviser, provide you with information regarding your clients' investment(s) and/or loans(s) (where consent is given).

You agree and acknowledge that Macquarie may collect your personal information and disclose that information to relevant regulatory authorities (eg tax authorities in Australia and overseas) in connection with their lawful information requests or to meet its obligations under the *Financial Transaction Reports Act 1988*, the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006* or other Australian or overseas legislation.

Please complete and return to **Macquarie Specialist Investments, GPO Box 4294, Sydney NSW 1164**, email structuredinvestments@macquarie.com or facsimile **02 8232 6158**.

If you require any assistance please contact the **Client Service Team** on **1800 080 033** or email structuredinvestments@macquarie.com.

Macquarie Specialist Investments is a business within Macquarie Asset Management. Other than Macquarie Bank Limited ABN 46 008 583 542 (**MBL**), any entity that issues products or provides loans within Macquarie Asset Management is not an authorised deposit-taking institution for the purposes of the Banking Act 1959 (Commonwealth of Australia). That entity's obligations do not represent deposits or other liabilities of MBL. MBL does not guarantee or otherwise provide assurance in respect of the obligations of any entity within Macquarie Asset Management, unless noted otherwise.

Bank Use Only	CIP Collection Complete	Signature	Name	Date